

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Old, et al. )  
**Serial No.:** 10/599,046 )  
**Customer No.:** 51957 )  
)  
**Filed:** September 18, 2006 )  
)  
**For:** Substituted Gamma Lactams )  
As Therapeutic Agents )  
)  
**Examiner:** Not yet assigned )  
\_\_\_\_\_ )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Applicant herewith submits forms PTO 1449 for consideration by the Examiner, consistent with the provisions of 37 CFR § 1.97 and 1.98. By submitting this Information Disclosure Statement, Applicant makes no admission that any item listed thereupon is material to the patentability of the invention claimed in the above-entitled patent application. Further, Applicant makes no assertion hereby that a search was conducted, or if conducted, that any search was thorough.

Applicant respectfully requests that the Examiner indicate consideration of the presently cited references by returning the enclosed Form 1449 bearing the Examiner's initials and the date considered.

Respectfully submitted,

Date: November 3, 2006

/Brent A. Johnson/

Brent A. Johnson  
Reg. No. 51,851  
ALLERGAN, INC.  
2525 Dupont Drive  
Irvine, CA 92612

17795 (AP)

PATENT

Tel: 714-246-44348

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FORM PTO-1449

Page 2 of 2**LIST OF REFERENCES CITED BY APPLICANT**

|  |   |
|--|---|
| <b>ATTY. DOCKET:</b><br>17795 (AP)     | <b>SERIAL NO.:</b><br>10/599,046                                    |
| <b>APPLICANT:</b><br>Old, et al.       | <b>TITLE:</b><br>SUBSTITUTED GAMMA LACTAMS AS<br>THERAPEUTIC AGENTS |
| <b>FILING DATE:</b> September 18, 2006 | <b>GROUP:</b> Unknown   |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |    | DOCUMENT NO. | DATE     | NAME            | CLASS | SUB-CLASS | FILING DATE<br>(if applicable) |
|----------------------|----|--------------|----------|-----------------|-------|-----------|--------------------------------|
|                      | AA | 6,437,146    | 08-20-02 | Hattori, et al. |       |           |                                |
|                      | AB | 6,710,072    | 03-23-04 | Burk, et al.    |       |           |                                |
|                      | AC |              |          |                 |       |           |                                |
|                      | AD |              |          |                 |       |           |                                |
|                      | AE |              |          |                 |       |           |                                |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION<br>(yes/no) |
|--|----|--------------|------|---------|-------|-----------|-------------------------|
|  | AH |              |      |         |       |           |                         |

**OTHER REFERENCES**

(Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |  |
|--|----|--|--|
|  | AT |  |  |
|  | AU |  |  |
|  | AV |  |  |
|  | AW |  |  |
|  | AX |  |  |
|  | AY |  |  |
|  | AZ |  |  |
|  | BA |  |  |
|  | BB |  |  |

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.